



3-7-01

1713

Practitioner's Docket No. 1581.29

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shigetoyo Matsumura et al.
Application No.: 09/761,043 Group No.: n/a
Filed: January 15, 2001 Examiner: n/a
For: COLLOIDAL SILICA SLURRY

Assistant Commissioner for Patents
Washington, D.C. 20231

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. section 1.97(b))**

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

Date: 3/6/01

Signature of Practitioner

Reg. No.: 40,693
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Dennis G. LaPointe
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Signature

Lia H. Costello, Legal Assistant

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action--page 1 of 1)



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INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10*
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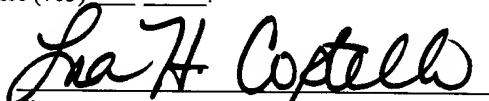
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Lia H. Costello, Legal Assistant

(type or print name of person certifying)



3. Statement as to Information Not Found in Patents or Publications
4. Identification of Person(s) Making This Information Disclosure Statement

Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)

(Insert form(s) PTO/SB/08A [Form 6-2] and/or form(s) PTO/SB/08B [Form 6-2.1].)

Section 3. Statement as to Information Not Found in Patents or Publications (Information Not Listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449))

No Japanese translation is available but note that Japanese references were based on priority from US applications as highlighted.

4. Identification of Person Making This Information Disclosure Statement
The person making this certification is:

- a. the practitioner who signs below on the basis of the information supplied by the inventor(s), supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. section 1.56(c)), and in the practitioner's file.

Date: 3/6/01


Signature of Practitioner

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